

No: 23 /2026/TB-SeABank

Hanoi, March 25<sup>th</sup>. 2026

**NOTIFICATION**

on provision and update of information on shareholders  
owning 01% or more of SeABank's charter capital

**Dear: Shareholders,**

Southeast Asia Commercial Joint Stock Bank ("SeABank") would like to send our sincere greetings and thanks to our Shareholders who have trusted, accompanied and supported SeABank over the past time.

Pursuant to the provisions of Article 49 of the Law on Credit Institutions No. 32/2024/QH15, effective from July 1, 2024 (hereinafter referred to as "Law on Credit Institutions"), SeABank respectfully informs Shareholders about providing and updating information to SeABank for the shareholders owning 01% or more of SeABank's charter capital<sup>1</sup> as follows:

**1. Information to be provided to SeABank:**

- a. Information about shareholders:
  - For shareholders who are individuals of Vietnamese nationality: Full name, personal identification number;
  - For shareholders who are foreign individuals: Full name, nationality, passport number, date of issue, place of issue;
  - For institutional shareholders: Name, Business Registration Certificate number or equivalent legal document, date of issue, place of issue;
- b. Information about related persons of shareholders<sup>2</sup>:
  - For related individuals, including: Full name; personal identification number; nationality, passport number, date of issue, place of issue for foreigners; relationship with the information providers.
  - For related parties that are organizations, including: Name, enterprise code, head office address of the enterprise, number of the Certificate of Business Registration or equivalent legal documents; legal representative, relationship with the information provider;
- c. Number and percentage of shares owned by shareholders at SeABank;
- d. Number and percentage of shares owned by related persons of shareholders at SeABank.

**2. Update the changes:**

In case of any change in information at the Point a, b Section 1 of this Notice, Shareholders are kindly requested to send a notice of information change to SeABank within 07 working days from the date of information change.

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<sup>1</sup> As of June 30, 2025, SeABank's charter capital is VND 28,450,000,000,000 divided into 2,845,000,000 shares. Thus, at present, shareholders owning 01% or more of SeABank's charter capital are shareholders owning 28,450,000 shares (twenty-eight million four hundred and fifty thousand shares) or more.

<sup>2</sup> Determined according to regulations in Appendix 01 attached to this Notification.

In case Shareholders and related persons have changes in the share ownership ratio at SeABank from 01% of SeABank's charter capital or more compared to the most recent provision, Shareholders please send a notice of change in information on share ownership ratio to SeABank within 07 working days from the date of occurrence.

**3. Forms and methods of providing and updating information to SeABank:**

Please fill in the information according to Appendix 02 attached to this Notification and send it to SeABank at the following address: **SeABank Board of Supervisors - BRG Building, No. 198 Tran Quang Khai, Hoan Kiem Ward, Hanoi City** (Telephone: 024 3944 8688 ext: 5217).

At the same time, please send a soft copy of Appendix 02 to email address: [bankiemsoatseabank@seabank.com.vn](mailto:bankiemsoatseabank@seabank.com.vn)

**4. Reporting and public disclosure of information**

After receiving information from our shareholders, SeABank will post and keep at SeABank's head office and report to the State Bank on the information you provide in accordance with the law.

At the same time, SeABank will publicly announce information about the full name of individuals and organizations that are shareholders owning 01% or more of SeABank's charter capital and the number and percentage of SeABank shares owned by Shareholders and related persons on SeABank's website (<https://www.seabank.com.vn>) within 07 working days from the date of receiving information provided by Shareholders.

Annually, SeABank discloses information at the points a, c and d, Section 1 of this Notification to the SeABank General Meeting of Shareholders.

**5. Responsibilities of The Shareholders**

You must ensure that the entire information provided and publicly disclosed is truthful, accurate, complete, timely and you must be responsible for the provided information.

We look forward to having your cooperation.

Sincerely Notify!

**ON BEHALF OF BOS.**

**HEAD OF BOS**  
(signature, full name and seal)

## Appendix 01: Related persons in Clause 24, Article 4 of the Law on Credit Institutions 2024

(Attached is Notice No. ~~23~~<sup>25<sup>th</sup></sup>/2026/TB-SeABank dated March ~~23~~<sup>25<sup>th</sup></sup>, 2026 of SeABank)

“Related person” means an organization or individual that has a direct or indirect relation with another organization or individual in any of the following cases:

1. Parent company with subsidiary and vice versa; parent company with sub-subsubsidiary and vice versa; credit institution with its subsidiary and vice versa; credit institution with its sub-subsubsidiary and vice versa; among subsidiaries of a parent company or credit institution; among subsidiaries of a subsidiary of a parent company or credit institution; managers, controllers or members of the Board of Supervisors of a parent company or credit institution, and individual or organization competent to appoint these persons with a subsidiary and vice versa;
2. Company or credit institution with its managers, controllers or members of the Board of Supervisors, or with company or organization competent to appoint these persons and vice versa;
3. Company or credit institution with organization or individual that owns 5% or more of the charter capital or voting share capital of that company or credit institution and vice versa;
4. Individual with his/her spouse; natural father/mother, foster father/mother, stepfather, stepmother, father-in-law, mother-in-law; natural/foster child, stepchild, daughter-in-law, son-in-law; sibling; half-sibling; spouse of natural sibling or half sibling (hereinafter referred to as “spouse, father, mother, child or sibling”); maternal grandfather/grandmother, paternal grandfather/grandmother; maternal/paternal grandchild; and maternal/paternal aunt, uncle and nibbling;
5. Company or credit institution with individual defined at Point 4 of this Appendix, with manager, controller, member of the Board of Supervisors, capital contributor or shareholder owning 5% or more of the charter capital or voting share capital of that company or credit institution and vice versa;
6. Individual authorized to act as a representative of an organization or individual's stake specified at the Points 1, 2, 3, 4 and 5 of this Appendix with authorizing organization or individual; individuals authorized to act as representatives of stakes of an institution;
7. Other juridical persons and individuals that pose risks to the operation of the credit institution or foreign bank’s branch, defined according to the rules and regulations of the credit institution or foreign bank’s branch or specified in writing by the State Bank of Vietnam through inspection or supervision;
8. Regarding a people's credit fund, a person related to a client of the fund falls within one of the cases specified in the points 2, 3, 5 and 7 of this Appendix; client with his/her spouse, father, mother, child or sibling.



Appendix 02

(Attached is Notice No. ~~23~~<sup>th</sup>./2026/TB-SeABank dated March ~~25~~<sup>th</sup>, 2026 of SeABank)

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence- Freedom- Happiness**  
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**Dear: Southeast Asia Commercial Joint Stock Bank (“SeABank”)**

(on provision and update of information on shareholders owning 01% or more of SeABank's charter capital)

**Name:**

**Identification card/passport number/Enterprise ID number:**

**Date of issue:**

**Place of issue:**

**Address:**

**Phone number:**

**Email:**

As required in Notice No. /2025/TB-SeABank dated.... of SeABank, I/We would like to respectfully send to your Bank the following information related to SeABank share ownership as of date... month ... year ... as follows:

No	Name	Name of person involved	Identification card/passport number/Enterprise ID number	Date of issue	Place of issue	Address/head office address	Legal representative	Relation with the Shareholders	Shareholding of shareholders and related parties at SeABank		
									Number of shares owned	Percentage of shares owned	Ratio of shares owned by shareholders and all related parties
1	2	3	4	5	6	7	8	9	10	11	12
1	Nguyễn Văn A										
Information about the related person is an individual											
1.1	Nguyễn Văn B										
1.2											
Information about the related person is an organization											
1.3	Công ty C										

